

Please type a plus sign (+) inside this box → +

PTO/SB/05 (03-04)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	Attorney Docket No. SN-US020637	
	First Inventor	Ken'ichi SUGAWARA
	Title	SPOOL SUPPORT STRUCTURE FOR A SPINNING REEL
	Express Mail Label No.	


<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>  1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification [Total Pages <span style="border: 1px solid black; padding: 0 5px;">23</span> ] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <span style="border: 1px solid black; padding: 0 5px;">4</span> ] 5. Oath or Declaration [Total Pages <span style="border: 1px solid black; padding: 0 5px;">3</span> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small></li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li></ul> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231  7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li><li>b. Specification Sequence Listing on:<ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul></li><li>c. <input type="checkbox"/> Statements verifying identity of above copies</li></ul> <b>ACCOMPANYING APPLICATIONS PARTS</b> 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Statement Concerning Combined Declaration & Power of Attorney
---	--

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number		<b>22919</b> <small>(Insert Customer No. here)</small>		or <input type="checkbox"/> Correspondence address below	
Name	Kiyoe K. Kabashima				
	Shinju Global IP Counselors, LLP				
Address	1233 Twentieth Street, NW				
	Suite 700				
City	Washington	State	DC	Zip Code	20036-2680
Country	United States	Telephone	(202) 293-0444	Fax	(202) 293-0445

Name (Print/Type)	Kiyoe K. Kabashima	Registration No. (Attorney/Agent)	54,874
Signature		Date	Dec. 4, 2003

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO  
17858  
U.S. PATENT  
10/726509  
120403

# FEE TRANSMITTAL FORM

Attorney Docket No.: **SN-US020637**

ASSISTANT COMMISSIONER OF PATENTS  
**BOX: PATENT APPLICATION**  
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **Ken'ichi SUGAWARA**


For: **SPOOL SUPPORT STRUCTURE FOR A SPINNING REEL**

The filing fee for filing this patent application has been calculated as follows:

		(Col. 1)	(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR:		NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE					\$385.00			\$770.00
TOTAL		20	- 20 =	0	x 09 = \$		x 18 = \$	
INDEP		2	- 3 =	0	x 43 = \$		x 86 = \$	
<input type="checkbox"/> MULTIPLE . DEPENDENT CLAIMS					+ 145 = \$		+ 290 = \$	
If the difference in Col. 1 is less than zero, enter "0" in Col. 2				TOTAL	\$		TOTAL	\$770.00

- ☒ A check is enclosed in the amount of \$ **810** to cover ☒ the above calculated filing fee and ☒ the \$40.00 Assignment recording fee.
- ☐ Please charge our Deposit Account No. **50-1836** in the amount of \$ \_\_\_\_\_ to cover ☐ the above calculated filing fee and ☐ the \$40.00 Assignment recording fee.
- ☐ Other:
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1836**. A duplicate copy of this sheet is attached.
- ☒ Any additional excess claim fees under 37 CFR 1.16.
- ☒ Any additional patent application processing fees under 37 CFR 1.17.

Dated: Dec. 4, 2003

  
Kiyoe K. Kabashima  
Reg. No. 54,874

SHINJYU GLOBAL IP COUNSELORS, LLP  
1233 Twentieth Street, NW, Suite 700  
Washington, D.C. 20036  
(202)-293-0444

SN-US020637

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
: Ken'ichi SUGAWARA :  
: Serial No.: New :  
: Filed: Herewith :  
: For: SPOOL SUPPORT STRUCTURE FOR :  
: A SPINNING REEL :

**STATEMENT CONCERNING COMBINED DECLARATION & POWER OF ATTORNEY**

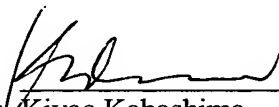
Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

I hereby declare and state that the Combined Declaration & Power of Attorney signed by Mr. Ken'ichi SUGAWARA for the above-identified application consists of three (3) pages. Although the Combined Declaration and Power of Attorney submitted herewith erroneously indicates that it has four (4) pages, there is no fourth page. Thus, the Combined Declaration and Power of Attorney submitted herewith has its entire pages.

If you have any questions regarding this matter, please contact the undersigned.

Respectfully submitted,

By:   
Name: Kiyoe Kabashima  
Reg. No. 54,874

Dated: Dec. 4, 2003

G:\12-DEC03-MT\SN-US020637 Statement concerning corrected declaration.doc